

# $C^*$ -Simplicity & Thompson Groups

Based on "Non-inner amenability of the Thompson groups  $T$  and  $V$ "  
Haagerup & Olesen (2016)

## Intro

Thompson (1965) defined the groups  $F < T < V$  (known now as the Thompson groups) in a set of handwritten notes. It seems his interest was initially driven by the interest in finding finitely generated groups with unsolvable word problem.

However in 1979, Geoghegan conjectured that  $F$  is nonamenable and does not contain a copy of  $\mathbb{F}_2$ , i.e. that it is a counterexample to the von Neumann-Day Conjecture.

Day noted in 1957 that (using vN 1929), we have the following implications for discrete gp  $G$ . He remarked that the converses were unknown.

|                                                                                                                                                                                                                |               |                                                                                                             |               |                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-------------------------------------------------------------------------------------------------------------|---------------|--------------------------------------------------|
| $G$ is elementary amenable<br>i.e. $G$ is in the smallest<br>class of gps containing<br>all finite & amenable gps<br>& is closed under subgps,<br>quotients, extensions, &<br>directed unions w.r.t. inclusion | $\Rightarrow$ | $G$ is amenable                                                                                             | $\Rightarrow$ | $G$ does not contain<br>a copy of $\mathbb{F}_2$ |
|                                                                                                                                                                                                                |               | $\nwarrow$                                                                                                  | $\swarrow$    |                                                  |
|                                                                                                                                                                                                                |               | Both were later proven false<br>Olshanskii (1980)<br>Grigorchuk (1984)<br>Other examples exist but are rare |               |                                                  |

Brin-Squire (1985)  $F$  does not contain a copy of  $\mathbb{F}_2$   
Cannon-Floyd-Parry (1996)  $F$  is not elementary amenable.

So, the question of the amenability of  $F$  is still very interesting and not just enticing!

Remark  $T$  does contain a copy of  $\mathbb{F}_2$ .

## The Thompson Groups

These have numerous realizations, and some facts are more readily proved from different realizations. We will give only one, and so some relevant facts we will just have to quote.

(For more information on these groups, consult Cannon-Floyd-Parry's introductory notes)

Let  $\mathcal{X} = \mathbb{Z}[\frac{1}{2}] \cap [0, 1)$  denote the standard dyadic rationals on  $[0, 1)$ .

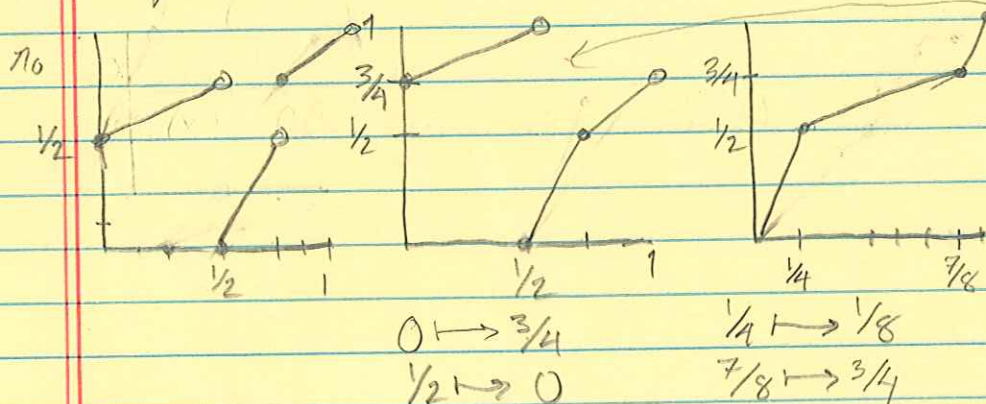
$V$  is the set of bijections <sup>on  $(0, 1)$</sup>  that satisfy the following:

- 1) They are right continuous & piecewise linear;
- 2) They have finitely many points of non-differentiability all of which lie in  $\mathcal{X}$ ;
- 3) On intervals of differentiability, their derivatives are of the form  $2^j$ ,  $j \in \mathbb{N}$ .

$T = \{f \in V : f \text{ has at most one point of discontinuity}\}$   
 Equivalently,  $T$  consists of elements of  $V$  which define a homeomorphism of  $[0, 1)$  when identified with  $\mathbb{R}/\mathbb{Z}$  in the standard way.

$F = \{f \in V : f \text{ is a homeomorphism of } [0, 1)\}$

Equivalently,  $F = \{f : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z} \mid f \in T \text{ \& } f(0) = 0\}$ .



$f' > 0$  and  $f$  having at most one point of discontinuity forces  $f(x_0) = 0$  &  $\lim_{x \rightarrow x_0} f(x) = 1$  at this one point  $x$ .

## Remarks

- 1) These are groups where multiplication is composition. An inductive argument shows that on an interval of differentiability, any  $f$  in  $V$  has the form  $f(x) = 2^j x + \frac{p}{2^k}$  where  $j, k \in \mathbb{N}$  &  $p \in \mathbb{Z}$ .

So, for any  $f \in V$ ,  $f(\mathbb{R}) = \mathbb{R}$  & it follows that  $V$  is closed w.r.t. composition & forming inverses.

Taking  $T$  to be a set of homeomorphisms on  $S^1$ , it follows readily that  $T$  &  $F$  are also groups.

- 2) From remark (1), we see that  $V \rightarrow \mathbb{R}$  (and so do  $T$  &  $F$ ) by definition.

This gives us a representation

$$\pi: V \rightarrow B(\ell^2(\mathbb{R})), \quad \pi(f)Sx = Sg(x)$$

- 3)  $T \rightarrow \mathbb{R}$  transitively, i.e. for any  $x, y \in \mathbb{R}$ ,  $\exists f \in T$  with  $f(x) = y$ .

This also holds for  $F$  when  $x, y \neq 0$ .  
(See given examples)

## II Main Theorem

(Bleak - Juschenko (2014)  
 Breuillard - Kalantar - Kennedy - Ozawa (2014)  
 Haagerup - Olesen (2016)  
 Le Boudec - Matte Bon (2016)

### TFAE



- 1)  $F$  is non-amenable
- 2)  $C_r^*(T)$  is simple
- 3)  $C_r^*(F)$  is simple
- 4)  $\exists y \in CT$  s.t.  $\pi|_T(y) = 0$  but  $\langle \lambda_T(y) \rangle_{C_r^*(T)} = C_r^*(T)$
- 5)  $\exists y \in CF$  s.t.  $\pi|_F(y) = 0$  but  $\langle \lambda_F(y) \rangle_{C_r^*(F)} = C_r^*(F)$
- 6)  $C_r^*(F)$  has UTP (ie. has a unique tracial state)

$\pi|_T$  the induced rep  
 ie.  $\lambda_T: CT \rightarrow B(\ell^2(T))$   
 the induced rep.

[Z]  
 [BKRO]

We will cover the arguments from [H0]  
 for  $(1) \Leftrightarrow (6)$ ,  $(1) \Rightarrow (5) \Rightarrow (4) \Rightarrow (1)$ , &  $(2) \Rightarrow (1)$

## III Preliminaries In what follows, $G$ is a discrete group

### Weak Containment

Defn Given two unitary representations

$$\varphi: G \rightarrow \mathcal{U}(\mathcal{H}) \text{ \& } \rho: G \rightarrow \mathcal{U}(\mathcal{H}')$$

we say  $\varphi$  is weakly contained in  $\rho$   $\varphi \prec \rho$

iff  $\exists$  a \*-hom  $\sigma: C^*(\rho(G)) \rightarrow C^*(\varphi(G))$  s.t.  $\varphi = \sigma \circ \rho$   
 ie.  $\ker(\rho: C^*(G) \rightarrow B(\mathcal{H}')) \subset \ker(\varphi: C^*(G) \rightarrow B(\mathcal{H}))$   
 ie.  $\forall y \in CG, \|\varphi(y)\| \leq \|\rho(y)\|$

Recall, a discrete group  $G$  is amenable  
 iff the trivial rep  $1_G: G \rightarrow \mathcal{U}(\ell^2(G))$   
 is weakly contained in the left-regular rep.

### A fact for weak containment

Suppose  $G$  acts on a set  $Y$  & let  $\rho: G \rightarrow \mathcal{U}(\ell^2(Y))$   
 be the induced rep. Then  $\rho \prec 1$  iff all stabilizers  
 $G_x := \{g \in G \mid gx = x\}$  are amenable.

Lemma [40]

$F$  is amenable iff  $\pi \prec \lambda_T$  iff  $\pi \prec \lambda_F$ .

Proof

Suppose  $F$  is amenable.

Since  $T \rightarrow \mathcal{X}$  is transitive, all stabilizers are isomorphic and hence isomorphic to  $T_0 = F$ . Then, by our fact for weak containment  $\pi \prec \lambda_T$ .

Since  $C_r^*(F) \hookrightarrow C_r^*(T)$  canonically,  $\forall y \in CF$  we have  $\|\pi(y)\| \leq \|\lambda_T(y)\| = \|\lambda_F(y)\|$ .

So,  $\pi = \pi|_F \prec \lambda_F$ .

Now, suppose  $\pi \prec \lambda_F$ .

Let  $p$  denote the projection of  $\ell^2(\mathcal{X})$  onto  $CS_0$ .

Since this is a 1-dimensional  $\pi(F)$ -invariant subspace of  $\mathcal{X}$ , the map  $p\pi p: F \rightarrow U(\ell^2(\mathcal{X}))$  is the trivial rep of  $F$  on  $\ell^2(\mathcal{X})$ .

Then, for any  $y \in CF$ ,

$$\|p\pi(y)p\| \leq \|\pi(y)\| \leq \|\lambda_F(y)\|$$

which means  $1_F \prec \lambda_F$  & hence  $F$  is amenable.

□

#### IV UTP and Non-amenability of $F$

(1)  $\Leftrightarrow$  (6)) Haagerup-Olesen give an argument for  $F$  non-amenable  $\Leftrightarrow C^*(F)$  has UTP based on the following theorem of [BKK0] and fact for  $F$ .

Thm [BKK0] For a discrete group  $G$ ,  $C^*(G)$  has UTP  $\Leftrightarrow R(G) = \{0\}$  where  $R(G)$  is the max'l amenable normal subgroup of  $G$ .

Fact for  $F$  (see Cannon-Floyd-Parry)  
Every normal subgroup of  $F$  contains a copy of  $F$

Proof for (1)  $\Leftrightarrow$  (6)

$$R(G) \neq 0 \Rightarrow F \leq R(G) \Rightarrow F \text{ amenable} \Rightarrow R(G) = 0.$$

The equivalence of (1) & (6) will be useful for the rest of the proof given the following characterization of the UTP due to Haagerup:

Theorem (Haagerup 2015)

For a discrete group  $G$ ,  $C^*(G)$  has UTP  $\text{iff}$   $\forall t \in G \setminus \{e\} \exists \varepsilon > 0 \exists s_1, \dots, s_n \in G$  s.t.  
$$\left\| \frac{1}{n} \sum_{k=1}^n \lambda(s_k t s_k^{-1}) \right\| < \varepsilon$$

Compare to Power's Test for  $C^*$ -simplicity:

(Kennedy 2015, Haagerup 2015)

$C^*(G)$  is simple  $\text{iff}$  for any  $t_1, \dots, t_m \in G \setminus \{e\}$  &  $\varepsilon > 0$   
 $\exists s_1, s_2, \dots, s_n \in G$  s.t.  $\left\| \frac{1}{n} \sum_{k=1}^n \lambda(s_k t_j s_k^{-1}) \right\| < \varepsilon$  for  $j=1, \dots, m$   
(ie. for any  $a \in C^*(G)$  &  $\varepsilon > 0 \exists s_1, \dots, s_n \in G$  s.t.  
$$\left\| \frac{1}{n} \sum_{k=1}^n \lambda(s_k a s_k^{-1}) - \tau_2(a) 1 \right\| < \varepsilon$$
)

## VI Main Proof

(1)  $\Rightarrow$  (5)

Assume  $F$  is nonamenable; we want

$$y \in \mathbb{C}F \text{ s.t. } \pi(y) = 0 \text{ \& } \langle \lambda_F(y) \rangle_{C_r^*(F)} = C_r^*(F)$$

$$\text{Let } F_1 = \{g \in F \mid g|_{[0, 1/2)} = e\} \text{ \& } F_2 = \{g \in F \mid g|_{[1/2, 1)} = e\}$$

Choose any  $g \in F_1 \setminus \{e\}$  and  $h \in F_2 \setminus \{e\}$ .

$$\text{Then } gh(x) = hg(x) = \begin{cases} h(x), & x \in [0, 1/2) \\ g(x), & x \in [1/2, 1) \end{cases}$$

In particular, for any  $x \in \mathbb{X}$ ,

$$\{g(x), h(x)\} = \{gh(x), x\}$$

$$\text{Let } y = 1 + gh - g - h \in \mathbb{C}F.$$

Then for any  $x \in \mathbb{X}$ ,  $\pi(1 + gh) \delta_x = \pi(g + h) \delta_x$ ,  
and so  $\pi(y) = 0$ .

It remains to show  $C_r^*(F) = \langle \lambda(y) \rangle$ , i.e.  $1 \in \langle \lambda(y) \rangle$ .

$$\begin{aligned} \text{Since } \overline{\text{conv}} \{ \lambda(s)(1 + \lambda(gh) - \lambda(g) - \lambda(h))\lambda(s^{-1}) \mid s \in G \} \\ = 1 + \overline{\text{conv}} \{ \lambda(sghs^{-1}) + \lambda(sgs^{-1}) - \lambda(shs^{-1}) \mid s \in G \} \\ =: 1 + K \subseteq \langle \lambda(y) \rangle \end{aligned}$$

It suffices to show  $0 \in K$ .

Since  $F$  is assumed non-amenable and

$F \cong F_1 \cong F_2$ , it follows from (1)  $\Leftrightarrow$  (6)

that  $F_1$  \&  $F_2$  have the UTP. By Haagerup's

Theorem, this means for any  $\varepsilon > 0$ ,

$$\exists s_1, \dots, s_n \in F_1 \text{ \& } t_1, \dots, t_m \in F_2 \text{ s.t.}$$

$$\left\| \frac{1}{n} \sum_{i=1}^n \lambda(s_i g s_i^{-1}) \right\| < \varepsilon \text{ \& } \left\| \frac{1}{m} \sum_{j=1}^m \lambda(t_j h t_j^{-1}) \right\| < \varepsilon$$

Now, since  $[F_1, F_2] = \{0\}$ , we compute

$$\begin{aligned} & \frac{1}{nm} \sum_{i=1}^n \sum_{j=1}^m \lambda(s_i t_j) (\lambda(gh) - \lambda(g) - \lambda(h)) \lambda(s_i t_j)^{-1} \\ &= \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(s_i t_j g h t_j^{-1} s_i^{-1}) \\ &= \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(s_i t_j g t_j^{-1} s_i^{-1}) - \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(s_i t_j h t_j^{-1} s_i^{-1}) \\ &= \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(s_i g s_i^{-1}) \lambda(t_j h t_j^{-1}) \\ &= \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(s_i g s_i^{-1}) - \frac{1}{n} \sum_{i=1}^n \frac{1}{m} \sum_{j=1}^m \lambda(t_j h t_j^{-1}) \\ &= ab - a - b \end{aligned}$$

So,  $ab - a - b \in K$  and  $\|ab - a - b\| < \varepsilon^2 + 2\varepsilon$

Since  $\varepsilon$  was arbitrary, we conclude that  $0 \in K$ .

Using  
 $C_r^*(F_1)$   
 $\subseteq C_r^*(F)$   
canonically

(5)  $\Rightarrow$  (4)

$\exists y \in \mathbb{C}F$  s.t.  $\pi(y) = 0$  but  $\langle \lambda_F(y) \rangle_{C_r^*(F)} = C_r^*(F)$ .

We claim  $\langle \lambda_T(y) \rangle_{C_r^*(T)} = C_r^*(T)$ .

Since  $C_r^*(F) \subset C_r^*(T)$  is a unital embedding, we have  $1_F \in \langle \lambda_F(y) \rangle_{C_r^*(F)} \subset \langle \lambda_T(y) \rangle_{C_r^*(T)}$ .

(4)  $\Rightarrow$  (1)

i.e.  $\exists y \in \mathbb{C}T$  s.t.  $\pi(y) = 0$  &  $\langle \lambda(y) \rangle_{C_r^*(T)} = C_r^*(T)$

$\Rightarrow F$  non-amenable.

Assume  $F$  is amenable.

By our weak containment lemma, this means

$\pi \prec \lambda_T$ , which means  $\exists$  a nonzero

\*-hom  $\phi: C_r^*(T) \rightarrow C^*(\pi(T))$  s.t.  $\pi = \phi \circ \lambda$ .

$\exists y \in \mathbb{C}T \setminus \{0\}$  with  $\pi(y) = 0$ . Then  $\lambda(y) \neq 0$ , and hence  $\lambda(y) \in \ker(\phi)$ , which means  $\ker(\phi) \neq \{0\}$ .

Thus,  $\ker(\phi)$  is a nontrivial proper ideal, and hence so is  $\langle \lambda(y) \rangle_{C_r^*(T)} \subseteq \ker(\phi)$ .

□

We are actually quite close to showing  
 $T$   $C^*$ -simple  $\Rightarrow F$  non-amenable.

Proof

Assuming  $F$  is amenable, from the (4)  $\Rightarrow$  (1) proof above, we see that we need only exhibit a  $y \in \mathbb{C}T \setminus \{0\}$  such that  $\pi(y) = 0$  to arrive at a proper ideal of  $C_r^*(T)$ .

But we showed in (1)  $\Rightarrow$  (5) how to construct many such elements.

□

Finally, to show (1)  $\Rightarrow$  (3) by showing that the reduced group C\*-algebra is simple.

### Extra Remarks

- 1) [LM] Prove  $(1) \Rightarrow (3)$  by characterizing the uniformly recurrent subgroups of  $F$ .  
They show these are exactly the normal subgroups.  
Recall from Sabrina's talk that (Kennedy) a discrete gp  $G$  is  $C^*$ -simple iff it has no nontrivial amenable URS.  
Recall our fact for  $F$  that every nontrivial normal subgroup of  $F$  contains a copy of  $F$ .  
So,  $F$  not  $C^*$ -simple  $\Rightarrow F$  amenable.
- 2) [BKKO] Proof  $(3) \Rightarrow (2)$  utilizes their proposition that for a discrete group  $G$  with  $G$ -boundary  $X$ , if  $\exists x \in G$  w/  $G_x$   $C^*$ -simple, then  $G$  is  $C^*$ -simple (Recall  $T_0 = F$ ).
- 3) [LM] Prove  $V$  is  $C^*$ -simple

### Recommended Reading

- [BJ] Bleak, Juschenko (2014) ([11])
- [BKKO] Breuillard, Kalantar, Kennedy, Ozawa (2017) ([2])
- [CFP] Cannon, Floyd, Parry, "Introductory notes on Richard Thompson's Groups." (1996)
- [H] Haagerup (2016) ([5])
- [HO] Haagerup, Olesen (2017) ([6])
- [LM] Le Boudec, Matte Bon (2016) ([14])